

PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE
SAN FRANCISCO, CA 94102-3298



March 28, 2024

Ronald K. Moore
Senior Regulatory Analyst
Golden State Water Company
630 East Foothill Blvd.
San Dimas, CA 91773

Dear Mr. Moore,

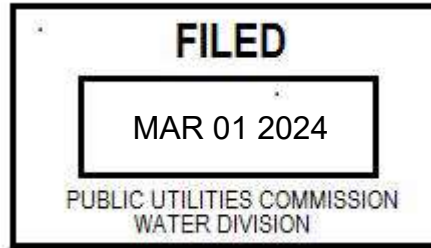
The Water Division of the California Public Utilities Commission has approved Golden State Water Company's Advice Letter No. 1937, filed on March 1, 2024, regarding request for new waiver to extend short-term borrowing for an additional 24-month period.

Enclosed is a copy of the advice letter with an effective date of March 31, 2024 for the utility's files.

Please contact Alex Pineda at alex.pineda@cpuc.ca.gov, if you have any questions.

Thank you.

Enclosures



March 1, 2024

Advice Letter No. 1937-W

(U 133 W)

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

Golden State Water Company (GSWC) hereby transmits this advice letter to request a new waiver from the requirements of Public Utilities Code §818 and the Holding Company Rules (adopted in Decision 98-06-068) as they apply to GSWC's new short-term borrowings under its revolving credit arrangements. This request will comply with the time periods granted in Decision (D.) 20-05-010 and D.21-04-004.

Background

On November 26, 2019, GSWC filed Application No. (A.) 19-11-023 requesting authorization to issue, sell, and deliver \$465,000,000 in aggregate of new securities and other debt instruments. The California Public Utilities Commission (Commission) issued D.20-05-010 on May 13, 2020. On January 15, 2021, GSWC filed a Petition For Modification of D.20-05-010 requesting clarification of the date by which GSWC must file a Tier 2 Advice Letter to extend its authority to issue short-term debt with a new term of up to 24 months.

In D. 20-05-010, GSWC was authorized to issue new short-term debt securities for short-term purposes for the period 2020 through the beginning of 2024. GSWC was also granted a waiver from the requirements of Pub. Util. Code § 818 and the Holding Company Rules issued in D. 98-06-068. This waiver allowed short-term debt borrowing under its revolving credit arrangements for a period of 24-consecutive months.

GSWC's request for the Public Utilities Code § 818 waiver to remain in effect until the financing authority granted in A.19-11-023 was fully utilized was denied and GSWC was ordered to submit a Tier 2 Advice Letter for a new waiver before the expiration of each 24-month period.

GSWC's Petition For Modification of D.20-05-010 requested that the Commission modify Ordering Paragraph (OP) 13 to clarify the deadline by which it must submit a Tier 2 Advice Letter for a new waiver of Public Utilities Code § 818 and GSWC's Holding Company Rules. GSWC requested that OP 13 be updated to state that the Tier 2 Advice Letter for a new waiver must be submitted no later than March 31, 2024.

In D.21-04-004, the Commission found GSWC's request for clarification of OP 13 in D.20-05-010 to be reasonable and in compliance with Commission requirements, and granted GSWC's request, with modifications. Instead of the single Tier 2 Advice Letter filing proposed in its Petition For Modification, GSWC must file a Tier 2 Advice Letter no later than March 31, 2022, and another Tier 2 Advice Letter no later than March 31, 2024, to comply with the time periods granted in D.20-05-010.

OP 13 in Decision 20-05-010 is modified as follows:

"Golden State Water Company must submit a Tier 2 Advice Letter for a new waiver before the expiration of each twenty-four-month period from the date of this decision (Decision 20-05-010), no later than March 31, 2022 and March 31, 2024."

On March 17, 2022, GSWC filed Advice Letter 1873-W to request a new waiver to issue new short-term debt securities under its revolving credit arrangements for an additional term of up to 24 months. Advice Letter 1873-W was approved April 16, 2022 granting the new waiver.

As of December 31, 2023, the remaining authority amount under D.20-05-010 totals \$105.0 million. GSWC intends to use the remaining authorized amount during 2024 and 2025.

Request

To comply with the time periods granted in D.20-05-010 and pursuant to D.21-04-004, GSWC requests that a new waiver from the requirements of Public Utility Code § 818 and GSWC's Holding Company Rules as they apply to GSWC's new short-term borrowings under its revolving credit arrangements be extended for an additional 24-month period to issue short term debt under its borrowing arrangements for short-term purposes.

GSWC's plans for the next 24-month period are consistent with its plans outlined in A.19-11-023. Under GSWC's existing short-term borrowing arrangements, amounts are borrowed and repaid based on GSWC's monthly cash needs to fund current operations. On a month-to-month basis, GSWC can be in a net borrowing or net payment position depending on its cash availability, but in any event such net outstanding borrowings are brought down to zero at least once every 12 months in accordance with Section 818 and the holding company rules. By continuing this waiver, GSWC will continue to be allowed to bring the balance down to zero at least once every 24 months. Even with the waiver, GSWC will continue using amounts borrowed under its short-term borrowing arrangements for working capital purposes and will continue to manage such borrowings as short-term debt. Such a waiver allows GSWC greater flexibility to defer the issuance of long-term debt and equity in the face of potential market disruptions in order to repay short-term borrowings under these arrangements.¹

¹ A.19-11-023 at 21.

The new waiver will continue to authorize GSWC to issue new short-term debt securities under its revolving credit arrangements for short-term purposes for an additional term of up to 24 months.

The request for the new waiver will not invalidate any of the requested New Debt Securities in A.19-11-023, nor any authority granted by D.20-05-010, Ordering Paragraphs 1 through 20.

Effective Date

As directed, this advice letter is submitted with a Tier 2 designation. GSWC request that this filing become effective on March 31, 2024.

Customer Notice

Pursuant to Water Industry Rule No. 3.2 in the Commission's General Order 96-B, this advice letter does not require a customer notice nor a customer notice verification.

Response or Protest

Anyone may submit a response or protest for this AL. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the Advice Letter (AL). A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds are:

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.

A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

Water Division must receive a response or protest via email (**or** postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.** The addresses for submitting a response or protest is:

California Public Utilities Commission, Water Division
505 Van Ness Avenue, San Francisco, CA 94102
E-mail: Water.Division@cpuc.ca.gov

On the same day the response or protest is submitted to Water Division, the respondent or protestant shall send a copy of the protest to Golden State Water Company, addressed to:

Golden State Water Company
Attn: Gladys Estrada
630 East Foothill Blvd., San Dimas, CA 91773
E-mail: regulatoryaffairs@gswater.com

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform Water Division, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

Replies

The utility shall reply to each protest and may reply to any response. Any reply must be received by Water Division within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

No individuals or utilities have requested notification of filing of tariffs. Distribution of this advice letter is being made to the attached service list in accordance with General Order No. 96-B.

Sincerely,

/s/ Gladys Estrada
Gladys Estrada
Regulatory Analyst

cc: Jim Boothe, CPUC - Water Division
Victor Chan, CPUC- CalPA
Richard Rauschmeier, CPUC- CalPA
Alex Pineda, CPUC- Water Division

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

Sacramento Suburban Water Dist.

3701 Marconi Avenue – Suite 100
Sacramento, CA 95821
HHernandez@sswd.org
DYork@sswd.org

Carmichael Water District

7837 Fair Oaks Blvd.
Carmichael, CA 95608-2405

Fair Oaks Water District

10317 Fair Oaks Blvd.
Fair Oaks, CA 95628

Orange Vale Water Co

P. O. Box 620800
9031 Central Avenue
Orange Vale, CA 95662

City of Antioch

P. O. Box 5007
Antioch, CA 94531

City of Brentwood

Public Works Operations
James Wolfe, Water Operations Manager
2201 Elkins Way
Brentwood, CA 94513-7344
jwolfe@brentwoodca.gov

Contra Costa County

Jami Napier, Chief Assistant Clerk of the Board
651 Pine Street, Room 106
Martinez, CA 94553
Jami-napier@cob.cccounty.us

Phoebe Grow

East Bay Municipal Utility District

375 – 11th Street, MS#804
Oakland, CA 94607

Highlands Water Company

14580 Lakeshore Drive
Clearlake, CA 95422-8100

Citrus Heights Water District

6230 Sylvan Road
Citrus Heights, CA 95610

California-American Water Co.

520 Capitol Mall, Suite 630
Sacramento, CA 95814
ca.rates@amwater.com

City of Folsom

50 Natoma Street
Folsom, CA 95630
myasutake@folsom.ca.us

Director

Sacramento County Water Agency

827-7th Street, Room 301
Sacramento, CA 95814
DWRexecsecretary@saccounty.net

Bay Point Municipal Advisory Council

P. O. Box 5038
Bay Point, CA 94565

Contra Costa Water District

P. O. Box H2O
Concord, CA 94520

Diablo Water District

P. O. Box 127
Raley's Shopping Center – 2107 Main Street
Oakley, CA 94561-0127
Dmuelrath@diablowater.org
cbelleci@diablowater.org

City of Martinez

525 Henrietta Avenue
Martinez, CA 94553

Konociti County Water District

15844 – 35th Street
Clearlake, CA 95422
kcwd@mchsi.com

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

Local Agency Formation Commission

P. O. Box 2694
Granite Bay, CA 95746
j.benoit4@icloud.com

Avila Beach Community Service District

P. O. Box 309
191 San Miguel Street
Avila Beach, CA 93424
avilacsd@gmail.com

Cambria Community Services Dist.

1316 Tamson Drive – Suite 201
P.O. Box 65
Cambria, CA 93428

Morro Bay City Water (City Hall)

595 Harbor Blvd.
Morro Bay, CA 93442
eriddiough@morrobayca.gov
pnewman@morrobayca.gov

San Luis Obispo City Water

879 Morro Street
San Luis Obispo, CA 93403
chenderson@slocity.org

City of Santa Maria

2065 East Main Street
Santa Maria, CA 93454
lmlong@ci.santa-maria.ca.us

Ventura County Water Works

P. O. Box 250
7150 Walnut Canyon Road
Moorpark, CA 93021
Sharon.Hurault@Ventura.org

City Clerk

City of Clearlake

14050 Olympic Drive
Clearlake, CA 95422
mswanson@clearlake.ca.us

City of Rancho Cordova

2729 Prospect Drive
Rancho Cordova, CA 95670

Arroyo Grande Municipal Water Dept.

P.O. Box 550
Arroyo Grande, CA 93420
staylor@arroyogrande.org

Community Services District

P. O. Box 6064
Los Osos, CA 93412

Los Osos CSD

2122 - 9th Street
Los Osos, CA 93402

S & T Mutual Water Co.

P.O. Box 6391
Los Osos, CA 93412
STMutualWater@gmail.com

Nipomo Community Services Dist.

P. O. Box 326
Nipomo, CA 93444
Mike@shipseyandseitz.com
miglesias@ncsd.ca.gov

Calleguas Municipal Water District

2100 Olsen Road
Thousand Oaks, CA 91360
staylor@calleguas.com

City Attorney

City of Clearlake

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Clearlake, CA 95422

City Clerk & City Attorney

City of Guadalupe

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Guadalupe, CA 93434

City Attorney & City Clerk

City of Santa Maria

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jpatrick@cityofsantamaria.org
sspringer@cityofsantamaria.org

GOLDEN STATE WATER COMPANY
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Sacramento, CA 95814
saucedos@saccounty.net

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Santa Barbara, CA 93101

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Water Department
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Bellflower, CA 90706

City of Downey
Director of Public Works
P. O. Box 90241-7016
Downey, CA 90241

City of Huntington Park
Water Department
6550 Miles Street
Huntington Park, CA 90255

City of Lakewood
Water Department
P.O. Box 220
Lakewood, CA 90714-0220

Long Beach Water Department
Chris Garner, General Manager
1800 Wardlow Road
Long Beach, CA 90807

City of Los Angeles
Department of Water & Power
P O Box 51111
Los Angeles, CA 90051-0100

County Clerk
County of Sacramento
P O Box 839
Sacramento, CA 95812

County Counsel
County of San Luis Obispo
County Government Center - #D-320
San Luis Obispo, CA 93408

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Walnut Creek, CA 94596

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Cerritos, CA 90703

City of Hawthorne
4455 W. 126th Street
Hawthorne, CA 90250

City of Inglewood
One W. Manchester Blvd. - Suite 900
P. O. Box 6500
Inglewood, CA 90301

City of Long Beach
Water Department
1800 E. Wardlow Road
Long Beach, CA 90807-4994

Honorable Mayor Karen Bass
City of Los Angeles
200 N. Spring Street – Room 303
Los Angeles, CA 90012

City of Norwalk
Water Department
12700 Norwalk Blvd. – Room #5
Norwalk, CA 90650

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

**City of Paramount
Water Department**
16420 Colorado Street
Paramount, CA 90723
sho@paramountcity.com

**City of South Gate
Water Department**
8650 California Street
South Gate, CA 90280
rdickey@sogate.org
ccastillo@sogate.org

City of Whittier
13230 Penn Street
Whittier, CA 90602

**California Water Service
Rancho Dominguez District**
2632 West 237th Street
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hwind@calwater.com

Maywood Mutual Water - No. 1
5953 Gifford Street
Huntington Park, CA 90255

Maywood Mutual Water - No. 3
6151 Heliotrope Avenue
Maywood, CA 90270-3418

Pico County Water District
P. O. Box 758
Pico Rivera, CA 90660-0768

**Robert Kelly, VP of Regulatory Affairs
Suburban Water Systems**
1325 N. Grand Avenue, Suite 100
Covina, CA 91724-4044

Water Replenishment District
4040 Paramount Blvd.
Lakewood, CA 90712-4127
General Manager: stucker@ wrd.org
rbeste@ wrd.org

**City of Santa Fe Springs
Water Department**
11736 E. Telegraph Road
Santa Fe Springs, CA 90670

**City of Torrance
Water Department**
3031 Torrance Blvd.
Torrance, CA 90503

California Water Service Co.
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Torrance, CA 90505-5272
mduque@calwater.com

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**Orchard Dale County
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13819 East Telegraph Road
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El Monte, CA 91733
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Tract 180 - Mutual Water Co.
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GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

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Uzi Daniels – Manager of Operations
E. J. Caldwell – Interim General Manager

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City Clerk

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CityClerk@LakewoodCity.org

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City of Lawndale
14717 Burin Avenue
Lawndale, CA 90260

City Attorney & City Clerk
City of Norwalk
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Norwalk, CA 90650

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barbaraearl@santafesprings.org
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Inglewood, CA 90301

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13700 La Mirada Blvd.
La Mirada, CA 90638
AHaraksin@CityofLaMirada.org

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South Gate, CA 90280

County Clerk
County of Orange
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Santa Ana, CA 92702

County of LA Waterworks Dist.
23533 West Civic Center Way
Malibu, CA 90265
Attn: Mark Carney

Director of Public Services
Town of Apple Valley
14955 Dale Evans Parkway
Apple Valley, CA 92307

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

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P. O. Box 77
Barstow, CA 92311

California Dept. of Forestry

7105 Airway Drive
Yucca Valley, CA 92284

Daggett Community Service

P.O. Box 308
Daggett, CA 92327

East Orange County Water

185 N. McPherson Road
Orange, CA 92869-3720
Bruce Youngblood: dyoungblood@eocwd.com
Sylvia Prado: sprado@eocwd.com
eoch2o@eocwd.com

**Jurg Heuberger, CEP, Executive Officer
LAFCO**

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Local Agency Formation Commission

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San Bernardino, CA 92415-0490
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**Morongo Valley Community
Service Distribution**

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Morongo Valley, CA 92256

Park Water Company

9750 Washburn Road
Downey, CA 90241

San Gabriel County Water Co.

8366 Grand Avenue
Rosemead, CA 91770
Jim@sgcwg.com

Serrano Water Dist. – Villa Park

18021 East Lincoln Street
Villa Park, CA 92667

California Department of Corrections

P. O. Box 5001
7018 Blair Road
Calipatria, CA 92233

California Dept. of Forestry Hdqtrs

3800 N. Sierra Way
San Bernardino, CA 92408

County Water

222 W. Hospitality Lane, 2nd Floor
San Bernardino, CA 92408

Imperial County Board of Supervisors

Attn: Supervisor John Hawk, District 5
County Administration Center
940 W. Main St, #209
El Centro, CA 92243-2871
johnhawk@co.imperial.ca.us

Juniper Riviera CWD

P O Box 618
Lucerne Valley, CA 92356

Mariana Ranchos County Water District

9600 Manzanita Street
Apple Valley, CA 92308
MarianaCWD@mrcwd.org

Navajo Mutual Water Company

P. O. Box 392
Apple Valley, CA 92307
Gnmwmc@gmail.com

Rancheritos Water Co.

P. O. Box 348
Apple Valley, CA 92307
RMWC1954@gmail.com

Seeley County Water District

P. O. Box 161
Seeley, CA 92273

Sheep Creek Water Company

P. O. Box 291820
Phelan, CA 92329-1820
Attn: Chris Cummings
sheepcreek@verizon.net

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

Sunny Slope Water Co.

1040 El Campo Drive
Pasadena, CA 91107-5506
Ken@sunnyslopewater.com
Karen@sunnyslopewatercompany.com

Victor Valley Water District

17185 Yuma Street
Victorville, CA 92392

Westmorland Water Company

P.O. Box 698
Westmorland, CA 92281

City of Anaheim

City Clerk's Office
200 S. Anaheim Blvd. – Suite 217
Anaheim, CA 92805

City of Alhambra Utilities Dept.

111 S. First Avenue
Alhambra, CA 91801

City of Brea

Water Department
#1 Civic Center Drive
Brea, CA 92621

City of Calexico Water Co.

608 Heber Avenue
Calexico, CA 92231
saldanaj@calexico.ca.gov

City of El Centro Water Co.

307 W. Brighton Avenue
El Centro, CA 92244

City of Fullerton Water

Fullerton Water Department
303 W. Commonwealth Avenue
Fullerton, CA 92631
garh@ci.fullerton.ca.us

City of Glendora

116 East Foothill Blvd.
Glendora, CA 91740

Twentynine Palms Water District

72401 Hatch Road
P.O. Box 1735
Twentynine Palms, CA 92277
RKolisz@29PalmsWater.org

Walnut Valley Water District

271 S. Brea Canyon Road
Walnut, CA 91789

Ms. Carol Goss, Chair

Water Issues Committee
Wrightwood Property Owners Assoc.
P.O. Box 487
Wrightwood, CA 92397

City of Arcadia Water Co.

P. O. Box 60021
240 W. Huntington Drive
Arcadia, CA 91066-6021

City of Brawley Water Co.

400 Main Street
Brawley, CA 92227
TSalcido@brawley-ca.gov

City of Buena Park

6650 Beach Boulevard
Buena Park, CA 90620

City of Covina

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Covina, CA 91723-2199
CMarcarello@covinaca.gov – Director of Public Works

City Attorney

City of El Monte

11333 Valley Blvd.
El Monte, CA 91732
cmoseley@elmonte.ca.gov

City of Garden Grove

13802 Newhope Street
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zackb@ci.garden-grove.ca.us

Heber Public Utility District

P. O. Box H
Heber, CA 92249

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

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jwyman@cityofhesperia.us

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