



January 9, 2023

Advice Letter No. 1893-W

(U 133 W)

California Public Utilities Commission

PURPOSE

Pursuant to Rule VIII.D of the Affiliate Transaction Rules (“Rules”), Golden State Water Company (“GSWC”) submits this Advice Letter No. 1893-W to notify the California Public Utilities Commission (“Commission”) that, as of November 30, 2022, State Street Corporation has acquired more than 10% of American States Water Company (“AWR”), GSWC’s parent, outstanding shares and thereby definition is an Affiliate.¹ GSWC further requests that the Rules VII.B, VIII.A, VIII.B and VIII.D not be applied to State Street Corporation and its affiliates on the following conditions: (1) that there are no affiliate transactions between State Street Corporation and GSWC; and (2) that State Street Corporation continues to file a Schedule 13G with the Securities and Exchange Commission (“SEC”) for acquisition of AWR stock. GSWC’s request for a conditional waiver of the Rules is permitted by and consistent with Rule VIII.D. The waiver request is consistent with GSWC’s Advice Letter No. 1640-W approved by the Commission on November 17, 2015 in which GSWC similarly requested a waiver from Rules VII.B, VIII.A, VIII.B and VIII.D for its affiliate, The Vanguard Group (“Vanguard”), attached hereto as **Attachment A**.

BACKGROUND

In accordance with Decision No. (“D.”) 10-10-019, D.11-10-034 and as modified by D.12-01-042, GSWC must notify the Commission of the creation of a new affiliate and may request that the Commission waive application of the Rules by Tier 2 advice letter. While GSWC believes that the entity in question, State Street Corporation and its affiliates, should not be considered an affiliate under the Rules, GSWC files this Advice Letter 1893-W to notify the Commission of an acquisition of AWR stock by State Street Corporation and to request that the Commission not apply the Rules to State Street Corporation and its affiliates, as permitted by Rule VIII.D. GSWC requests a waiver from Rules VII.B, VIII.A, VIII.B and VIII.D with respect to State Street Corporation and its affiliates, provided certain conditions are met, and pursuant to the process established by the Commission.

¹ Rule II.E – “For purposes of these Rules, “affiliate” includes . . . any company that directly or indirectly owns, controls, or holds the power to vote more than 10 percent of the outstanding voting securities of a utility or its parent company.”

On or about November 30, 2022, the State Street Corporation, a self-described parent holding company, and SSGA Funds Management, Inc., an affiliate of State Street Corporation (“State Street Corp. and its Affiliate”), jointly filed a Schedule 13G with the SEC indicating State Street Corporation acquired 10.08% and SSGA Funds Management, Inc. acquired 6.37% of the common stock of AWR, GSWC’s parent company. In that schedule, State Street Corp. and its Affiliate certified that the stock was acquired and held “in the ordinary course of business” and was not acquired and not held “for the purpose or with the effect of changing or influencing the control of the issuer” or “in connection with or as a participant in any transaction having that purpose or effect”. A copy of Schedule 13G is attached hereto as **Attachment B**.

GSWC became aware of the stock acquisition after State Street Corporation and SSGA Funds Management, Inc. jointly filed Schedule 13G with the SEC. To GSWC’s knowledge, State Street Corporation is a financial holding company incorporated in Massachusetts and does not provide water or wastewater related products or services. State Street Corporation has a market capitalization of approximately 29.8 billion dollars², with a credit rating of A1/A.³ To GSWC’s knowledge, SSGA Funds Management, Inc. is an affiliate and the investment management division of State Street Corporation, is incorporated in Massachusetts, and does not provide water or wastewater related products and services. SSGA Funds Management, Inc. manages about \$3.26 trillion in global assets as of September 30, 2022.⁴

GSWC believes that State Street Corporation and its Affiliate are not considered an affiliate of GSWC under the Rules and as a result, no further action is required. However, GSWC has determined that the third party purchaser of more than 10% of the stock of a utility’s parent company is considered an affiliate of the utility, according to Rule II.E (definition of affiliate). Based on that potential interpretation of the Rules, GSWC is filing this Advice Letter No. 1893-W.

As permitted by Rule VIII.D, GSWC requests a waiver from Rules VII.B, VIII.A, VIII.B and VIII.D with respect to State Street Corp. and its Affiliate on the following conditions: (1) there are no affiliate transactions between State Street Corp. and its Affiliate, and GSWC; and (2) State Street Corp. and its Affiliate continue to file a Schedule 13G with the SEC for acquisition of AWR stock⁵.

² <https://www.bloomberg.com/> (December 14, 2022)

³ <https://www.moodys.com/credit-ratings/State-Street-Corporation-credit-rating-718450?cy=asia> (December 14, 2022)

⁴ <https://www.ssga.com/us/en/intermediary/etfs/about-us/who-we-are> (December 14, 2022)

⁵ In Schedule 13G, State Street Corporation and SSGA Funds Management, Inc. certify that they are not holding AWR stock for the purpose or with the effect of changing or influencing the control of the issuer.

Rule VIII.D Request for Waiver:

GSWC's Request for a Conditional Waiver as to State Street Corp. and its Affiliate is Consistent with the Rules.

Under Rule VIII.D, a utility may request, through a Tier 2 advice letter, that the Rules not be applied to a new affiliate. If the utility makes such a request, it must include an explanation of why the Rules should not apply to the new affiliate.

This Advice Letter No. 1893-W complies with the requirements of Rule VIII.D:

- (1) Consistent with Rule VIII.D, Advice Letter No. 1893-W is filed within 60 days of State Street Corporation's acquisition of more than 10% of the stock of GSWC's parent company. State Street Corp. and its Affiliate filed a Schedule 13G with the SEC disclosing State Street Corporation's 10.08% interest in AWR on November 30, 2022. GSWC is filing Advice Letter No. 1893-W notifying the Commission of the State Street Corporation stock acquisition today, January 9, 2023.
- (2) As specifically authorized by Rule VIII.D, Advice Letter No. 1893-W properly requests that the Rules not be applied to the new affiliate and explains why the Rules should be waived in this instance. First, GSWC requests that Rules VII.B, VIII.A, VIII.B and VIII.D not be applied to State Street Corp. and its Affiliate. Instead of requesting waiver from all applicable Rules, which is permitted under Rule VIII.D, GSWC is merely requesting a limited and conditional waiver. Specifically, GSWC is requesting that Rules VII.B, VIII.A, VIII.B and VIII.D not apply to State Street Corp. and its Affiliate on the following conditions: (1) there are no affiliate transactions between State Street Corp. and its Affiliate, and GSWC; and (2) State Street Corp. and its Affiliate continue to file a Schedule 13G with the SEC for acquisition of AWR stock.

The Rules should be conditionally waived as to State Street Corp. and its Affiliate because: State Street Corp. and its Affiliate certified in its Schedule 13G filed that its acquisition of AWR stock was acquired and held "in the ordinary course of business" and was not acquired and not held "for the purpose of with the effect of changing or influencing the control of the issuer" and State Street Corp. and its Affiliate are not owned or controlled by AWR or GSWC. Further, State Street Corp. and its Affiliate are global investment management companies that do not provide water or wastewater products or services.

- Rule VII.B (bond downgrading) should be waived because the downgrading of State Street Corporation's credit rating will have no impact on GSWC, and AWR stock value will continue to be based on market conditions applicable to AWR, not State Street Corp. and its Affiliate. Moreover, State Street Corp. and its Affiliate have no legal obligation or business reason to inform GSWC of bond downgrading.
- Rule VIII.A (access to officers and employees) should be waived as to State Street Corp. and its Affiliate because GSWC does not have access to or control over State Street Corp. and its Affiliate's officers or employees. Further, State Street Corp. and its Affiliate have no legal obligation or business reason for providing GSWC with access to its officers and employees.
- Rule VIII.B (access to books and records) should be waived as to State Street Corp. and its Affiliate because GSWC does not have access to or control over State Street Corp. and its Affiliate's books and records. Further, State Street Corp. and its Affiliate have no legal obligation or business reason for providing GSWC with access to its books and records.
- Rule VIII.D (creation of new affiliates) should be waived as to State Street Corp. and its Affiliate because GSWC does not have access or control over State Street Corp. and its Affiliate's books or records regarding the creation of any new affiliates by State Street Corporation, SSGS Funds Management, Inc. or its affiliates. Further, State Street Corp. and its Affiliate have no legal obligation or business reason for providing GSWC with this information. In addition, given State Street Corp. and its Affiliate's size, it is extremely unlikely that any entity would acquire an interest in State Street Corp. and its Affiliate sufficient to trigger Rule VIII.D.

GSWC's requested limited and conditional exemption is justified because GSWC does not intend to engage in transactions with State Street Corp. and its Affiliate. In addition, as stated in State Street Corp. and its Affiliate's Schedule 13G filing, State Street Corp. and its Affiliate did not acquire AWR's stock for the purpose of influencing the control of American States Water Company. Further, State Street Corp. and its Affiliate have no legal obligation or business reason to inform GSWC of bond downgrading or to provide access to its books, records, officers or employees.

REQUEST

Pursuant to Rule VIII.D, GSWC requests that Rules VII.B, VIII.A, VIII.B, and VIII.D not be applied to State Street Corporation and its affiliate, SSGA Funds Management, Inc. so long as there are no affiliate transactions found to occur between State Street Corp. and its Affiliate and GSWC, Public Advocates Office is made aware of any State Street Corp. and its Affiliate shareholder resolutions, and State Street Corp. and its Affiliate continue to file a Schedule 13G with the SEC related to the accumulation of AWR stock.

EFFECTIVE DATE

GSWC is requesting that this filing become effective upon approval.

TIER DESIGNATION

Pursuant to General Order No. 96-B, this advice letter is submitted with a Tier 2 designation.

RESPONSE OR PROTEST⁶

Anyone may submit a response or protest for this advice letter ("AL"). When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds⁷ are:

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.

⁶ G.O. 96-B, General Rule 7.4.1

⁷ G.O. 96-B, General Rule 7.4.2

A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

Water Division (“WD”) must receive a response or protest via email (or postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

Email Address:

Water.Division@cpuc.ca.gov

Mailing Address:

CA Public Utilities Commission
Water Division
505 Van Ness Avenue
San Francisco, CA 94102

On the same day the response or protest is submitted to WD, the respondent or protestant shall send a copy of the protest to Golden State Water Company at:

Email Address:

regulatoryaffairs@gswater.com

Mailing Address:

Golden State Water Company
Attn: Jenny Darney-Lane
630 East Foothill Blvd.
San Dimas, CA 91773

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

REPLIES⁸

The utility shall reply to each protest and may reply to any response. Any reply must be received by WD within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

⁸ G.O. 96-B, General Rule 7.4.3

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

Sincerely,

Jenny
Darney-Lane

Digitally signed by
Jenny Darney-Lane
Date: 2023.01.09
09:53:48 -08'00'

Jenny Darney-Lane
Golden State Water Company
Manager of Regulatory Affairs

c: Jim Boothe, CPUC - Water Division
Jeremy Ho, CPUC - Water Division
Matt Baker, CPUC - Public Advocates Office
Richard Rauschmeier, CPUC - Public Advocates Office
Victor Chan, CPUC- Water Branch, Public Advocates Office
Jon Pierotti, GSWC - Vice President, Regulatory Affairs
Brad Powell, GSWC - Manager, Regulatory Affairs

ATTACHMENT A

**Advice Letter 1640-W Approval -
Notification of a New Affiliate Vanguard**

STATE OF CALIFORNIA

EDMUND G. BROWN JR., Governor

PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE
SAN FRANCISCO, CA 94102-3298

November 18, 2015

John Garon
Director of Regulatory Affairs
Golden State Water Company
630 East Foothill Blvd.
San Dimas, CA 91773

SUBJECT: Advice Letter 1640-W

Dear Mr. Garon:

The Commission has approved Golden State Water Company's Advice Letter 1640-W filed on October 29, 2015 regarding the request that Affiliate Transaction Rules VII.B, VIII.A, VIII.B, and VIII.D not be applied to The Vanguard Group, a new affiliate of Golden State Water Company.

Golden State Water Company is reminded that, irrespective of its belief to the contrary (AL 1640 at p. 2), The Vanguard Group is an affiliate pursuant to Affiliate Transaction Rule II.E given Vanguard's ownership of more than 10% of the stock of Golden State Water Company's parent company, American States Water Company.

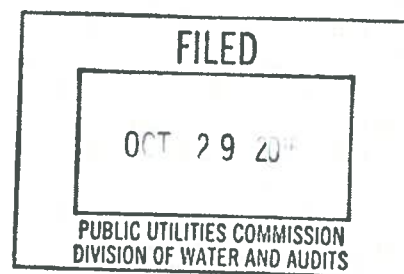
Enclosed is a copy of Advice Letter 1640-W with an effective date of November 17, 2015.

Sincerely,

/s/ JENNIFER PEREZWater and Sewer Advisory Branch
Division of Water and Audits

Enclosure





October 29, 2015

Advice Letter No. 1640-W

(U 133 W)

California Public Utilities Commission

PURPOSE

Pursuant to Rule VIII.D of the Affiliate Transaction Rules ("Rules"), Golden State Water Company ("GSWC") submits this Advice Letter No. 1640-W to notify the California Public Utilities Commission ("Commission") that, as of August 31, 2015, The Vanguard Group ("Vanguard") has acquired more than 10% of American States Water Company ("AWR"), GSWC's parent, outstanding shares and thereby definition is an Affiliate.¹ GSWC further requests that the Rules VII.B, VIII.A, VIII.B and VIII.D not be applied to Vanguard on the following conditions: (1) that there are no affiliate transactions between Vanguard and GSWC; (2) that Vanguard continues to file a Schedule 13G for acquisition of AWR stock. GSWC's request for a conditional waiver of the Rules is permitted by and consistent with Rule VIII.D. The waiver request is consistent with California American Water's ("Cal Am") Advice Letter No. 990-WB, in which Cal Am similarly requested a waiver from Rules VII.B, VIII.A, VIII.B and VIII.D for their affiliate, BlackRock, Inc. ("BlackRock"), and approved by the Commission on April 25, 2014, attached hereto as Attachment A.

BACKGROUND

In accordance with Decision No. (D.) 10-10-019, D.11-10-034 and as modified by D.12-01-042, GSWC must notify the Commission of the creation of a new affiliate and may request that the Commission waive application of the Rules by Tier 2 advice letter. While GSWC does not believe that the entity in question, Vanguard, should be considered an affiliate under the Rules, GSWC files this Advice Letter 1640-W to notify the Commission of an acquisition of AWR stock by Vanguard and to request that the Commission not apply the Rules to Vanguard as permitted by Rule VIII.D. GSWC requests a waiver from Rules VII.B, VIII.A, VIII.B and VIII.D of the Rules with respect to Vanguard, provided certain conditions are met, and pursuant to the process established by the Commission.

On or about September 10, 2015, Vanguard, a self-described parent holding company, filed a Schedule 13G with the Securities and Exchange Commission ("SEC") indicating it had acquired 10.08% of the common stock of AWR, GSWC's parent company. In that schedule,

¹ Rule II.E - "For purposes of these Rules, "affiliate" includes . . . any company that directly or indirectly owns, controls, or holds the power to vote more than 10 percent of the outstanding voting securities of a utility or its parent company."

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Vanguard certified that the stock was acquired "in the ordinary course of business" and was not acquired "for the purpose of and do not have the effect of changing or influencing the control of the issuer" or "in connection with or as a participant in any transaction having that purpose or effect". A copy of the Vanguard's Schedule 13G is attached hereto as Attachment B.

GSWC became aware of the stock acquisition after Vanguard filed the schedule. To GSWC's knowledge, Vanguard is a global investment management company incorporated in Pennsylvania and does not provide water or wastewater related products or services. Vanguard, one of the world's largest investment management companies and a leading provider of company-sponsored retirement plans, manages about \$3 trillion in global assets², and is generally considered financially sound. Vanguard is a collective mutual funds company and not publicly traded, and therefore no credit rating is available.

GSWC believes that Vanguard is not considered an affiliate under the Rules and as a result, no further action is required. However, GSWC has determined that the third party purchaser of more than 10% of the stock of a utility's parent company is considered an affiliate of the utility, according to II.E (definition of affiliate) in the Rules. Based on that potential interpretation of the Rules, GSWC is filing this Advice Letter No. 1640-W.

As permitted by Rules VIII.D, GSWC requests a waiver from Rules VII.B, VIII.A, VIII.B and VIII.D with respect to Vanguard on the following conditions: (1) there are no affiliate transactions between Vanguard and GSWC; and (2) Vanguard continues to file a Schedule 13G for acquisition of AWR stock³.

Rule VIII.D Request for Waiver:

1. GSWC's Request for a Conditional Waiver as to Vanguard is Consistent with the Rules.

Under Rule VIII.D, a utility may request, through Tier 2 advice letter, that the Rules not be applied to a new affiliate. If the utility makes such a request, it must include an explanation of why the Rules should not apply to the new affiliate.

This Advice Letter No. 1640-W complies with the requirements of Rule VIII.D:

- (1) Consistent with Rule VIII.D, Advice Letter No. 1640-W is filed within 60 days of Vanguard's acquisition of more than 10% of the stock of GSWC's parent company. Vanguard filed a Schedule 13G disclosing its 10.08% interest in AWR on September 10, 2015. GSWC is filing Advice Letter No. 1640-W

² <https://about.vanguard.com/who-we-are/fast-facts/> (September 28, 2015)

³ In Schedule 13G, Vanguard certifies that it is not holding AWR stock for the purpose of and do not have the effect of changing or influencing the control of the issuer.

notifying the Commission of the Vanguard stock acquisition today, October 29, 2015.

- (2) As specifically authorized by Rule VIII.D, Advice Letter No. 1640-W properly requests that the Rules not be applied to the new affiliate and explains why the Rules should be waived in this instance. First, GSWC requests that Rules VII.B, VIII.A, VIII.B and VIII.D not be applied to Vanguard. Instead of requesting waiver from all applicable Rules, which is permitted under Rule VIII.D, GSWC is merely requesting a limited and conditional waiver. Specifically, GSWC is requesting that Rules VII.B, VIII.A, VIII.B and VIII.D not apply to Vanguard on the following conditions: (1) there are no affiliate transactions between Vanguard and GSWC; and (2) Vanguard continues to file a Schedule 13G for acquisition of AWR stock.

The Rules should be conditionally waived as to Vanguard because: Vanguard certified in its Schedule 13G filed with the SEC that its acquisition of AWR stock was acquired in the ordinary course of business and was not acquired for the purpose of and do not have the effect of changing or influencing control of the issuer and Vanguard is not owned or controlled by AWR or GSWC. Further, Vanguard is a global investment management company that does not provide water or wastewater products or services.

- Rule VII.B (bond downgrading) should be waived because Vanguard is a collective mutual funds company and not publicly traded, therefore no credit rating is available and this rule does not apply. GSWC is not aware of any credit rating relative to the Vanguard Group, Inc. itself. Furthermore, the downgrading of Vanguard's credit rating will have no impact on GSWC and AWR stock value will continue to be based on market conditions applicable to AWR, not Vanguard. Moreover, Vanguard has no legal obligation or business reason to inform GSWC of bond downgrading.
- Rule VIII.A (access to officers and employees) should be waived as to Vanguard because GSWC does not have access to or control over Vanguard officers or employees. Further, Vanguard has no legal obligation or business reason for providing GSWC with access to its officers and employees.
- Rule VIII.B (access to books and records) should be waived as to Vanguard because GSWC does not have access to or control over Vanguard's books and records. Further, Vanguard has no legal obligation or business reason for providing GSWC with access to its

books and records.

- Rule VIII.D (creation of new affiliates) should be waived as to Vanguard because GSWC does not have access or control over Vanguard's books or records regarding the creation of any new affiliates by Vanguard or its affiliates. Further, Vanguard has no legal obligation or business reason for providing GSWC with this information. In addition, given Vanguard's size, it is extremely unlikely that any entity would acquire an interest in Vanguard sufficient to trigger Rule VIII.D.

GSWC's requested limited and conditional exemption is justified because GSWC does not intend to engage in transactions with Vanguard. In addition, as stated in Vanguard's Schedule 13G filing, Vanguard did not acquire AWR's stock for the purpose of influencing control of American States Water Company. Further, Vanguard has no legal obligation or business reason to inform GSWC of bond downgrading or to provide access to its books, records, officers or employees.

REQUEST

Pursuant to Rule VIII.D of the Rules, GSWC requests that Rules VII.B, VIII.A, VIII.B, and VIII.D not be applied to Vanguard so long as there are no affiliate transactions found to occur between Vanguard and GSWC, ORA is made aware of any Vanguard shareholder resolutions, and Vanguard continues to file a Schedule 13G related to the accumulation of AWR stock.

EFFECTIVE DATE

GSWC is requesting that this filing become effective upon approval.

TIER DESIGNATION

Pursuant to General Order No. 96-B, this advice letter is submitted with a Tier 2 designation.

RESPONSE OR PROTEST⁴

Anyone may submit a response or protest for this AL. When submitting a response or protest, please include the utility name and advice letter number in the subject line.

A response supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A protest objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds⁵ are:

1. The utility did not properly serve or give notice of the AL;

⁴ G.O. 96-B, General Rule 7.4.1

⁵ G.O. 96-B, General Rule 7.4.2

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2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.

A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (or postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

Email Address:

Water.Division@cpuc.ca.gov

Mailing Address:

CA Public Utilities Commission
Division of Water and Audits
505 Van Ness Avenue
San Francisco, CA 94102

On the same day the response or protest is submitted to DWA, the respondent or protestant shall send a copy of the protest to Golden State Water Company at:

Email Address:

regulatoryaffairs@gswater.com

Mailing Address:

Golden State Water Company
Attn: John Garon
630 East Foothill Blvd.
San Dimas, CA 91773

Advice Letter 1640-W

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October 29, 2015

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

REPLIES⁶

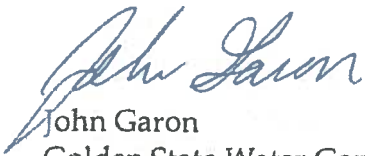
The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform the Water Division, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

No individuals or utilities have requested notification of filing of tariffs. Distribution of this advice letter is being made to the attached service list in accordance with General Order No. 96-B.

Sincerely,



John Garon
Golden State Water Company
Director of Regulatory Affairs

- c: Jim Boothe, CPUC - Water Division
Danilo Sanchez, CPUC- Water Branch, ORA
Hani Moussa, CPUC- Water Branch, ORA

⁶ G.O. 96-B, General Rule 7.4.3

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

ATTACHMENT A

Sacramento Suburban Water Dist.
3701 Marconi Avenue – Suite 100
Sacramento, CA 95821
roscoe@sswd.org

Citrus Heights Water District
6230 Sylvan Road
Citrus Heights, CA 95610

Carmichael Water District
7837 Fair Oaks Blvd.
Carmichael, CA 95608-2405

California-American Water Co.
4701 Beloit Drive
Sacramento, CA 95838-2434
Dave.stephenson@amwater.com
Sherrene.chew@amwater.com

Fair Oaks Water District
10317 Fairoaks Blvd.
Fairoaks, CA 95628

City of Folsom
50 Natoma Street
Folsom, CA 95630

Orange Vale Water Co
P. O. Box 620800
9031 Central Avenue
Orange Vale, CA 95662
swilcox@orangevalewater.com

Director
Sacramento County Water Agency
827-7th Street, Room 301
Sacramento, California 95814
DWRExecsecretary@sacounty.net

City of Antioch
P. O. Box 5007
Antioch, CA 94531
pharrington@ci.antioch.ca.us

Bay Point Municipal Advisory Council
3105 Willow Pass Road
Bay Point, CA 94565-3149

City of Brentwood
Public Works Operations
Eric Brennen, Water Operations Manager
2201 Elkins Way
Brentwood, CA 94513

Contra Costa Water District
P. O. Box H2O
Concord, CA 94520

Contra Costa County Water Agency
651 Pine Street
4th Floor Northwing
Martinez, CA 94553

Diablo Water District
P. O. Box 127
Raley's Shopping Center – 2107 Main Street
Oakley, CA 94561-0127

Richard Lou, Principal Management Analyst
East Bay Municipal Utility District
375 – 11th Street, MS#804
Oakland, CA 94607
Rlou@ebmud.com

City of Martinez
525 Henrietta Avenue
Martinez, CA 94553

Bay Point Project Area Committee
c/o Contra Costa County
Redevelopment Agency
651 Pine Street – 4th Floor, North Wing
Martinez, CA 94553
mtoms@cd.cccounty.us

Highlands Water Company
14580 Lakeshore Drive
Clearlake, CA 95422-8100

Konociti County Water District
15844 – 35th Street
Clearlake, CA 95422
kcwd@mchsi.com

Local Agency Formation Commission
P. O. Box 2694
Granite Bay, CA 95746

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

Arroyo Grande Municipal Water Dept.
P.O. Box 550
Arroyo Grande, CA 93420
staylor@arroyogrande.org

Community Services District
P. O. Box 6064
Los Osos, CA 93412
mfalkner@losososcads.org

Los Osos CSD
2122 - 9th Street
Los Osos, CA 93402

S & T Mutual Water Co.
P.O. Box 6391
Los Osos, CA 93412
STMutualwater@gmail.com

Casitas Municipal Water District
1055 Ventura Avenue
Oak View, CA 93022

Ventura River County Water Dist
409 Old Baldwin Road
Ojai, CA 93023
bert@vrcwd.com

Nipomo Community Services Dist.
P. O. Box 326
Nipomo, CA 93444
mlebrun@ncsd.ca.gov

City of Santa Maria
2065 East Main Street
Santa Maria, CA 93454
SSpringer@CityofSantaMaria.org
lmlong@ci.santa-maria.ca.us

Ventura County Water Works
P. O. Box 250
7150 Walnut Canyon Road
Moorpark, CA 93021

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401 S. Ventura Street
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clark@ci.ojai.ca.us

Avila Beach County Water
P O Box 309
Avila Beach, CA 93424

Cambria Community Services Dist.
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Morro Bay City Water (City Hall)
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dwade@morro-bay.ca.us

San Luis Obispo City Water
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chenderson@slocity.org

Meiners Oaks Water District
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mikeh20@meinersoakswater.com

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401 S. Ventura Street
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Charles Baker, President
Rural Water Company
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ruralwater@me.com

Calleguas Municipal Water District
2100 Olsen Road
Thousand Oaks, CA 91360
staylor@calleguas.com

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City Attorney
City of Clearlake
14050 Olympic Drive
Clearlake, CA 95422

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

City Clerk
City of Clearlake
 14050 Olympic Drive
 Clearlake, CA 95422
mswanson@clearlake.ca.us

City Clerk & City Attorney
City of Guadalupe
 918 Obispo Street
 Guadalupe, CA 93434

City Attorney & City Clerk
City of Santa Maria
 110 East Cook Street
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rgarretz@ci.santa-maria.ca.us
atruillo@ci.santa-maria.ca.us

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City of Simi Valley
 2929 Tapo Canyon Road
 Simi Valley, CA 93065

County Clerk
County of Sacramento
 720 9th Street
 Sacramento, CA 95814

County Clerk
County of San Luis Obispo
 1055 Monterey Street - #D-120
 San Luis Obispo, CA 93408

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 Steve Pedretti, Division Chief
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 San Luis Obispo, CA 93408

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c/o County Clerk
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 Santa Barbara, CA 93101

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City of Cerritos
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GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

City of Hawthorne
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City of Huntington Park
Water Department
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 Huntington Park, CA 90255

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 Inglewood, CA 90301

City of Lakewood
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 Lakewood, CA 90714-0220

City of Long Beach
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 1800 E. Wardlow Road
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Honorable Mayor Eric Garcetti
City of Los Angeles
 200 N. Spring Street – Room 303
 Los Angeles, CA 90012

City of Los Angeles
Department of Water & Power
 P O Box 51111
 Los Angeles, CA 90051-0100

City of Norwalk
Water Department
 12700 Norwalk Blvd. – Room #5
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City of Paramount
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 16420 Colorado Street
 Paramount, CA 90723
ccash@paramountcity.com

City of Santa Fe Springs
Water Department
 11736 E. Telegraph Road
 Santa Fe Springs, CA 90670

City of South Gate
Water Department
 8650 California Street
 South Gate, CA 90280
rdickey@sogate.org
diorres@sogate.org

City of Torrance
Water Department
 3031 Torrance Blvd.
 Torrance, CA 90503

Jeff Collier, City Manager
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 Whittier, CA 90602
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California Water Service Co.
 2632 West 237th Street
 Torrance, CA 90505-5272
mduque@calwater.com

California Water Service
Rancho Dominguez District
 2632 West 237th Street
 Torrance, CA 90505-5272
hwind@calwater.com

Maywood Mutual Water - No. 1
 5953 Gifford Street
 Huntington Park, CA 90255
MaywoodWater1@aol.com

Maywood Mutual Water - No. 2
 3521 East Slauson Street
 Maywood, CA 90270

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

Maywood Mutual Water - No. 3
 6151 Heliotrope Avenue
 Maywood, CA 90270-3418

**Orchard Dale County
 Water District**
 13819 East Telegraph Road
 Whittier, CA 90604

Park Water Company
 P. O. Box 7002
 Downey, CA 90241-7002

Pico County Water District
 P. O. Box 758
 Pico Rivera, CA 90660-0768

San Gabriel Valley Water Co.
 11142 Garvey Avenue
 El Monte, CA 91733
[dadelloa@sgvwater.com](mailto:dadellosa@sgvwater.com)

**Robert Kelly, VP of Regulatory Affairs
 Suburban Water Systems**
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Tract 180 - Mutual Water Co.
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Tract180@hotmail.com

**Water Replenishment District
 General Manager**
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 Lakewood, CA 90712-4127

**Central Basin MWD
 General Manager**
 6252 Telegraph Road
 Commerce, CA 90040-2512

**West Basin MWD
 Richard Nagel – General Manager**
 17140 S. Avalon Blvd. – Suite 210
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richardn@westbasin.org

**Gloria Molina – 1st District
 L.A. County Board of Supervisors**
 856 Kenneth Hahn Hall of Admin
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 Los Angeles, CA 90012

**Mark Ridley-Thomas – 2nd District
 L. A. County Board of Supervisors**
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**City Attorney & City Clerk
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**City Attorney, Clerk & Manager
 City of Bell**
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Daleshire@awattorneys.com – Dale Aleshire
Abustamonte@CityofBell.org – City Clerk
JGrooms@cityofbell.org – Int. City Mgr. - Jerry Grooms

**City Manager
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 Bell Gardens, CA 90201
pwagner@bellgardens.org

**City Manager
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ioropeza@bellgardens.org

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 Carson, CA 90745

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

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City of Culver City
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City.attorney@culvercity.org

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City of Downey
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City of El Segundo
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AHaraksin@CityofLaMirada.org

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REGION 1, 2 & 3 – SERVICE LIST

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 Norwalk, CA 90650

County Clerk
County of Orange
 12 Civic Center Plaza
 Santa Ana, CA 92702

County Counsel
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 333 W. Santa Ana Blvd., 4th Floor
 Santa Ana, CA 92701

County of LA Waterworks Dist.
 23533 West Civic Center Way
 Malibu, CA 90265
 Attn: Mark Carney
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Apple Valley Ranchos Water Co.
Tony Penna – General Manager
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Dennis Cron
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Larry@epwater.com

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Jurg Heuberger, CEP, Executive Officer
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 El Centro, CA 92243-2840

Juniper Riviera CWD
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jrcwd@basicisp.net

Local Agency Formation Commission
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 San Bernardino, CA 92415-0490
lafco@lafco.sbcounty.gov

Mariana Ranchos County Water District
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MarianaCWD@mrcwd.org

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

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8366 Grand Avenue
Rosemead, CA 91770
Barbara@sgcwd.com

Serrano Water Dist. – Villa Park
18021 East Lincoln Street
Villa Park, CA 92667

Sunny Slope Water Co.
1040 El Campo Drive
Pasadena, CA 91107-5506

Victor Valley Water District
14343 Civic Drive
P O Box 5001
Victorville, CA 92392

Westmorland Water Company
P.O. Box 698
Westmorland, CA 92281

**City of Anaheim
City Clerk's Office**
200 S. Anaheim Blvd. – Suite 217
Anaheim, CA 92805

City of Alhambra Utilities Dept.
111 S. First Avenue
Alhambra, CA 91801

**City of Brea
Water Department**
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rudyc@cityofbrea.net

Navajo Mutual Water Company
P. O. Box 392
Apple Valley, CA 92307

Rancheritos Water Co.
P. O. Box 348
Apple Valley, CA 92307

Seeley County Water District
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Seeley, CA 92273

Sheep Creek Water Company
P. O. Box 291820
Phelan, CA 92329-1820
Attn: Chris Cummings
sheepcreek@verizon.net

Twentynine Palms Water District
72401 Hatch Road
P.O. Box 1735
Twentynine Palms, CA 92277

Walnut Valley Water District
271 S. Brea Canyon Road
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jangelico@wvwd.com

**Ms. Carol Goss, Chair
Water Issues Committee
Wrightwood Property Owners Assoc.**
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carol.goss@verizon.net

City of Arcadia Water Co.
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240 W. Huntington Drive
Arcadia, CA 91066-6021
ttait@ci.arcadia.ca.us

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400 Main Street
Brawley, CA 92227

City of Buena Park
6650 Beach Boulevard
Buena Park, CA 90620

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

City of Calexico Water Co.
608 Heber Avenue
Calexico, CA 92231

City of Covina
125 East College Street
Covina, CA 91723-2199

City of El Centro Water Co.
307 W. Brighton
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City of Glendora
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P. O. Box H
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Water Department
9700 Seventh Avenue
Hesperia, CA 92345
jwyma@cityofhesperia.us

City of Imperial
Water Department
420 S. Imperial Avenue
Imperial, CA 92251

City of La Palma
7822 Walker Street
La Palma, CA 90623
Attn: Jeff Moneda, PW Director

City of La Verne
Water Department
3660 "D" Street
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Jmesa@ci.la-verne.ca.us

Monte Vista Water District
10575 Central Avenue
Montclair, CA 91763
ttracy@mvwd.org

City of Monterey Park Water Co.
320 W. Newmark Avenue
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City of Monrovia Water Company
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City of Upland
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460 N. Euclid Street
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npendergraft@ci.upland.ca.us

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

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825 S. Sunset Avenue
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GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

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Rozaluia_Outley@ci.pomona.ca.us

Water/Wastewater Operations Manager
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Darron_Poulsen@ci.pomona.ca.us

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Assistant City Manager
City of San Dimas
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City of San Gabriel
425 S. Mission Drive
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City of Stanton
7800 Katella Avenue
Stanton, CA 90680

City Attorney
City of Temple City
9701 Las Tunas Drive
Temple City, CA 91780

City Manager
City of Yorba Linda
P O Box 87014
Yorba Linda, CA 92885-8714

City Council
City of Calipatria
125 North Park Avenue
Calipatria, CA 92233

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222 E. Mountain View St – Suite A
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icousino@barstowca.org

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sdesautels@ci.claremont.ca.us

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Covina, CA 91723

City Clerk
City of Cypress
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Cypress, CA 90630
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Deputy City Clerk
City of Duarte
1600 Huntington Drive
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herrerakaren@accessduarte.com

City Clerk
City of El Monte
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El Monte, CA 91731

City Clerk
City of Irwindale
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LindaK@ci.irwindale.ca.us

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La Palma, CA 90680

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

City Clerk
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City Clerk
City of Los Alamitos
3191 Katella Avenue
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WQintanar@cityoflosalamitos.org

City Clerk
City of Monrovia
415 S. Ivy Avenue
Monrovia, CA 91016

City Clerk
City of Montclair
5111 Benito Avenue
Montclair, CA 91763
aphillips@cityofmontclair.org

City Clerk
City of Orange
300 E. Chapman Avenue
Chapman, CA 92666

City Clerk
City of Placentia
401 E. Chapman Avenue
Placentia, CA 92870
cmartinez@placentia.org

City Clerk
City of Rosemead
8838 Valley Blvd.
Rosemead, CA 91770
Gmolleda@cityofrosemead.org

City Clerk's Department
City of San Gabriel
425 S. Mission Drive
San Gabriel, CA 91776
jliu@sqch.org; vqao@sqch.org

City Clerk
City of Seal Beach
211 8th Street
Seal Beach, CA 90740
TKnapp@sealbeachca.gov

City Manager, James A. Box
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Stanton, CA 90680
ibox@ci.stanton.ca.us

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City of Temple City
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Temple City, CA 91780

County Counsel
County of San Bernardino
385 N. Arrowhead Avenue – 4th Floor
San Bernardino, CA 92415-0140

City Clerk
City of Yorba Linda
4845 Casa Loma Avenue
Yorba Linda, CA 92686

Chamber President
Niland Chamber of Commerce
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Niland, CA 92257

County of San Bernardino
Water & Sanitation Area
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Victorville, CA 92393-5004

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Supervisor District 5
County of Contra Costa
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Walnut Valley Water District
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Walnut, CA 91789
mholmes@wvwd.com

Fred G. Yanney
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FredYanney@gmail.com

Scott Blaising
Braun Blaising McLaughlin & Smith PC
915 L Street, Suite 1270
Sacramento, CA 95814
blaising@braunlegal.com

ATTACHMENT B

**STATE STREET CORPORATION –
SCHEDULE 13G**

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

SCHEDULE 13G

UNDER THE SECURITIES EXCHANGE ACT OF 1934
AMENDED FILING

AMERICAN STATES WATER COMPANY
(NAME OF ISSUER)
COMMON STOCK
(TITLE OF CLASS OF SECURITIES)
029899101
(CUSIP NUMBER)
11/30/2022
(DATE OF EVENT WHICH REQUIRES FILING OF THIS STATEMENT)

CHECK THE APPROPRIATE BOX TO DESIGNATE THE RULE PURSUANT TO WHICH THIS
SCHEDULE IS FILED:

- (X) RULE 13D-1 (B)
 () RULE 13D-1 (C)
 () RULE 13D-1 (D)

*THE REMAINDER OF THIS COVER PAGE SHALL BE FILLED OUT FOR A
REPORTING PERSON'S INITIAL FILING ON THIS FORM WITH RESPECT TO THE
SUBJECT CLASS OF SECURITIES, AND FOR ANY SUBSEQUENT AMENDMENT
CONTAINING INFORMATION WHICH WOULD ALTER THE DISCLOSURES PROVIDED
IN A PRIOR COVER PAGE.

THE INFORMATION REQUIRED IN THE REMAINDER OF THIS COVER PAGE SHALL
NOT BE DEEMED TO BE "FILED" FOR THE PURPOSE OF SECTION 18 OF THE
SECURITIES EXCHANGE ACT OF 1934 ("ACT") OR OTHERWISE SUBJECT TO THE
LIABILITIES OF THAT SECTION OF THE ACT BUT SHALL BE SUBJECT TO ALL
OTHER PROVISIONS OF THE ACT (HOWEVER, SEE THE NOTES).

CUSIP NO: 029899101 13G PAGE 2 OF 7 PAGES

1. NAME OF REPORTING PERSON: STATE STREET CORPORATION
I.R.S. IDENTIFICATION NO. OF THE ABOVE PERSON: 04-2456637
2. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

NOT APPLICABLE
3. SEC USE ONLY
4. CITIZENSHIP OR PLACE OF ORGANIZATION

BOSTON, MASSACHUSETTS
5. SOLE VOTING POWER

0 SHARES
6. SHARED VOTING POWER

3,459,585
7. SOLE DISPOSITIVE POWER

0 SHARES
8. SHARED DISPOSITIVE POWER

3,725,183
9. AGGREGATED AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

3,725,183
10. CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

NOT APPLICABLE
11. PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9
10.08%

12. TYPE OF REPORTING PERSON
HC

CUSIP NO: 029899101 13G PAGE 3 OF 7 PAGES

1. NAME OF REPORTING PERSON: SSGA FUNDS MANAGEMENT, INC.
I.R.S. IDENTIFICATION NO. OF THE ABOVE PERSON: 04-3555193
2. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

NOT APPLICABLE
3. SEC USE ONLY
4. CITIZENSHIP OR PLACE OF ORGANIZATION

BOSTON, MASSACHUSETTS
5. SOLE VOTING POWER

0 SHARES
6. SHARED VOTING POWER

2,347,472
7. SOLE DISPOSITIVE POWER

0 SHARES
8. SHARED DISPOSITIVE POWER

2,354,846
9. AGGREGATED AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

2,354,846
10. CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

NOT APPLICABLE
11. PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9
6.37%
12. TYPE OF REPORTING PERSON
IA

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ITEM 1.

(A) NAME OF ISSUER
AMERICAN STATES WATER COMPANY

(B) ADDRESS OF ISSUER'S PRINCIPAL EXECUTIVE OFFICES
630 E FOOTHILL BLVD SAN DIMAS CA 91773 UNITED STATES

ITEM 2.

(A) NAME OF PERSON FILING
STATE STREET CORPORATION
SSGA FUNDS MANAGEMENT, INC.

(B) ADDRESS OF PRINCIPAL BUSINESS OFFICE OR, IF NONE,
RESIDENCE
STATE STREET FINANCIAL CENTER
1 LINCOLN STREET
BOSTON, MA 02111
(FOR ALL REPORTING PERSONS)

(C) CITIZENSHIP: SEE ITEM 4 (CITIZENSHIP OR PLACE OF
ORGANIZATION) OF COVER PAGES

(D) TITLE OF CLASS OF SECURITIES
COMMON STOCK

(E) CUSIP NUMBER:
029899101

ITEM 3.

IF THIS STATEMENT IS FILED PURSUANT TO RULE 13D-1(B), OR 13D-2(B)
OR (C), CHECK WHETHER THE PERSON FILING IS A:
SEE ITEM 12 (TYPE OF REPORTING PERSON) OF THE COVER PAGE
FOR EACH REPORTING PERSON AND THE TABLE BELOW, WHICH EXPLAINS

THE MEANING OF THE TWO LETTER SYMBOLS APPEARING IN ITEM 12 OF THE COVER PAGES.

SYMBOL	CATEGORY
BK	BANK AS DEFINED IN SECTION 3(A) (6) OF THE ACT.
IC	INSURANCE COMPANY AS DEFINED IN SECTION 3 (A) (19) OF THE ACT
IC	INVESTMENT COMPANY REGISTERED UNDER SECTION 8 OF THE INVESTMENT COMPANY ACT OF 1940.
IA	AN INVESTMENT ADVISOR IN ACCORDANCE WITH RULE 13D-1(B) (1) (II) (E).
EP	AN EMPLOYEE BENEFIT PLAN OR ENDOWMENT FUND IN ACCORDANCE WITH RULE 13D-1(B) (1) (II) (F) .
HC	A PARENT HOLDING COMPANY OR CONTROL PERSON IN ACCORDANCE WITH RULE 13D-1(B)(1)(II) (G).
SA	A SAVINGS ASSOCIATIONS AS DEFINED IN SECTION 3(B) OF THE FEDERAL DEPOSIT INSURANCE ACT (12 U.S.C. 1813).
CP	A CHURCH PLAN THAT IS EXCLUDED FROM THE DEFINITION OF AN INVESTMENT COMPANY UNDER SECTION 3(C)(14) OF THE INVESTMENT COMPANY ACT OF 1940.

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- ITEM 4. OWNERSHIP
THE INFORMATION SET FORTH IN ROWS 5 THROUGH 11 OF THE COVER PAGE HERETO FOR EACH OF THE REPORTING PERSONS IS INCORPORATED HEREIN BY REFERENCE.
- ITEM 5. OWNERSHIP OF FIVE PERCENT OR LESS OF CLASS
NOT APPLICABLE
- ITEM 6. OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF ANOTHER PERSON
NOT APPLICABLE
- ITEM 7. IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED THE SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY OR CONTROL PERSON
SEE EXHIBIT 1 ATTACHED HERETO
- ITEM 8. IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF THE GROUP
NOT APPLICABLE
- ITEM 9. NOTICE OF DISSOLUTION OF GROUP
NOT APPLICABLE

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ITEM 10. CERTIFICATION

BY SIGNING BELOW I CERTIFY THAT, TO THE BEST OF MY KNOWLEDGE AND BELIEF, THE SECURITIES REFERRED TO ABOVE WERE ACQUIRED AND ARE HELD IN THE ORDINARY COURSE OF BUSINESS AND WERE NOT ACQUIRED AND ARE NOT HELD FOR THE PURPOSE OR WITH THE EFFECT OF CHANGING OR INFLUENCING THE CONTROL OF THE ISSUER OF THE SECURITIES AND WERE NOT ACQUIRED AND ARE NOT HELD IN CONNECTION WITH OR AS A PARTICIPANT IN ANY TRANSACTION HAVING THAT PURPOSE OR EFFECT.

SIGNATURES

AFTER REASONABLE INQUIRY AND TO THE BEST OF HIS KNOWLEDGE AND BELIEF, EACH OF THE UNDERSIGNED CERTIFIES THAT THE INFORMATION SET FORTH IN THIS STATEMENT IS TRUE, COMPLETE AND CORRECT.

STATE STREET CORPORATION

ELIZABETH SCHAEFER
SENIOR VICE PRESIDENT, DEPUTY CONTROLLER

SSGA FUNDS MANAGEMENT, INC.

JACLYN COLLIER
CHIEF COMPLIANCE OFFICER

EXHIBIT 1
THE FOLLOWING TABLE LISTS THE IDENTITY AND ITEM 3 CLASSIFICATION

OF EACH SUBSIDIARY OF STATE STREET CORPORATION, THE PARENT HOLDING COMPANY, THAT BENEFICIALLY OWNS THE ISSUER'S SECURITIES. PLEASE REFER TO ITEM 3 OF THE ATTACHED SCHEDULE 13G FOR A DESCRIPTION OF EACH OF THE TWO-LETTER SYMBOLS REPRESENTING THE ITEM 3 CLASSIFICATION BELOW.

SUBSIDIARY	ITEM 3 CLASSIFICATION
SSGA FUNDS MANAGEMENT, INC.	IA
STATE STREET GLOBAL ADVISORS EUROPE LIMITED	IA
STATE STREET GLOBAL ADVISORS LIMITED	IA
STATE STREET GLOBAL ADVISORS TRUST COMPANY	IA
STATE STREET GLOBAL ADVISORS, AUSTRALIA, LIMITED	IA
STATE STREET GLOBAL ADVISORS LTD.	IA

NOTE: ALL OF THE LEGAL ENTITIES ABOVE ARE DIRECT OR INDIRECT SUBSIDIARIES OF STATE STREET CORPORATION.

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JOINT FILING AGREEMENT

IN ACCORDANCE WITH RULE 13D-1(K) (1) UNDER THE SECURITIES EXCHANGE ACT OF 1934, AS AMENDED (THE EXCHANGE ACT), EACH UNDERSIGNED ENTITY (EACH A COMPANY) HEREBY AGREES TO ANY AND ALL JOINT FILINGS REQUIRED TO BE MADE ON THE COMPANY'S BEHALF ON SCHEDULE 13G (INCLUDING AMENDMENTS THERETO) UNDER THE EXCHANGE ACT, WITH RESPECT TO SECURITIES WHICH MAY BE DEEMED TO BE BENEFICIALLY OWNED BY THE COMPANY UNDER THE EXCHANGE ACT, AND THAT THIS AGREEMENT BE INCLUDED AS AN EXHIBIT TO ANY SUCH JOINT FILING. THIS AGREEMENT MAY BE EXECUTED IN ANY NUMBER OF COUNTERPARTS ALL OF WHICH TAKEN TOGETHER SHALL CONSTITUTE ONE AND THE SAME INSTRUMENT.

IN WITNESS WHEREOF, EACH COMPANY HEREBY EXECUTES THIS AGREEMENT EFFECTIVE AS OF THE DATE SET FORTH BELOW.

STATE STREET CORPORATION

ELIZABETH SCHAEFER
SENIOR VICE PRESIDENT, DEPUTY CONTROLLER

SSGA FUNDS MANAGEMENT, INC.

JACLYN COLLIER
CHIEF COMPLIANCE OFFICER

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

Sacramento Suburban Water Dist.
3701 Marconi Avenue – Suite 100
Sacramento, CA 95821
HHernandez@sswd.org
DYork@sswd.org

Carmichael Water District
7837 Fair Oaks Blvd.
Carmichael, CA 95608-2405

Cypress Ridge Owner's Association
Attn: President
1400 Madonna Road
San Luis Obispo, CA 93405

City of Folsom
50 Natoma Street
Folsom, CA 95630
myasutake@folsom.ca.us

Director
Sacramento County Water Agency
827-7th Street, Room 301
Sacramento, CA 95814
DWRexecsecretary@saccounty.net

Bay Point Municipal Advisory Council
3105 Willow Pass Road
Bay Point, CA 94565-3149

Contra Costa Water District
P. O. Box H2O
Concord, CA 94520

Diablo Water District
P. O. Box 127
Raley's Shopping Center – 2107 Main
Street
Oakley, CA 94561-0127
Dmuelrath@diablowater.org
cbelleci@diablowater.org

Citrus Heights Water District
6230 Sylvan Road
Citrus Heights, CA 95610

California-American Water Co.
520 Capitol Mall, Suite 630
Sacramento, CA 95814
ca.rates@amwater.com

Fair Oaks Water District
10317 Fair Oaks Blvd.
Fair Oaks, CA 95628

Orange Vale Water Co
P. O. Box 620800
9031 Central Avenue
Orange Vale, CA 95662

City of Antioch
P. O. Box 5007
Antioch, CA 94531

City of Brentwood
Public Works Operations
James Wolfe, Water Operations Manager
2201 Elkins Way
Brentwood, CA 94513
jwolfe@brentwoodca.gov

Contra Costa County
Chief Assistant Clerk of the Board
651 Pine Street, Room 106
Martinez, CA 94553
Jami.napier@cob.cccounty.us

Richard Lou, Principal Management Analyst
East Bay Municipal Utility District
375 – 11th Street, MS#804
Oakland, CA 94607
Rlou@ebmud.com

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

City of Martinez
525 Henrietta Avenue
Martinez, CA 94553

Konocti County Water District
15844 – 35th Street
Clearlake, CA 95422
kcwd@mchsi.com

Arroyo Grande Municipal Water Dept.
P.O. Box 550
Arroyo Grande, CA 93420
staylor@arroyogrande.org

Cambria Community Services Dist.
1316 Tamson Drive – Suite 201
P.O. Box 65
Cambria, CA 93428

Los Osos CSD
2122 - 9th Street
Los Osos, CA 93402

S & T Mutual Water Co.
P.O. Box 6391
Los Osos, CA 93412
STMutualwater@gmail.com

Nipomo Community Services Dist.
147 S. Wilson Street
Nipomo, CA 93444-0326
MIglesias@ncsd.ca.gov

Calleguas Municipal Water District
2100 Olsen Road
Thousand Oaks, CA 91360
staylor@calleguas.com

City Clerk
City of Clearlake
14050 Olympic Drive
Clearlake, CA 95422
mswanson@clearlake.ca.us

Highlands Water Company
14580 Lakeshore Drive
Clearlake, CA 95422-8100

Local Agency Formation Commission
P. O. Box 2694
Granite Bay, CA 95746
j.benoit4@icloud.com

Avila Beach Community Service District
P O Box 309
191 San Miguel Street
Avila Beach, CA 93424
avilacsd@gmail.com

Community Services District
P. O. Box 6064
Los Osos, CA 93412

Morro Bay City Water (City Hall)
595 Harbor Blvd.
Morro Bay, CA 93442
eriddiough@morrobayca.gov
pnewman@morrobayca.gov

San Luis Obispo City Water
879 Morro Street
San Luis Obispo, CA 93403

City of Santa Maria
2065 East Main Street
Santa Maria, CA 93454
lmlong@ci.santa-maria.ca.us

City Attorney
City of Clearlake
14050 Olympic Drive
Clearlake, CA 95422

City Clerk & City Attorney
City of Guadalupe
918 Obispo Street
Guadalupe, CA 93434

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

City Attorney & City Clerk
City of Santa Maria
110 East Cook Street
Santa Maria, CA 93454
rwhite@cityofsantamaria.org
jpatrick@cityofsantamaria.org
sspringer@cityofsantamaria.org

City Clerk & City Attorney
City of Simi Valley
2929 Tapo Canyon Road
Simi Valley, CA 93065

County Clerk
County of Sacramento
720 9th Street
Sacramento, CA 95814

County Clerk
County of San Luis Obispo
1055 Monterey Street - #D-120
San Luis Obispo, CA 93408

Department of Water Resources
Steve Pedretti, Division Chief
827 7th Street, Room 301
Sacramento, CA 95814
DWRexecsecretary@saccounty.net

County Counsel
County of San Luis Obispo
County Government Center - #D-320
San Luis Obispo, CA 93408

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John Farnkopf, Senior Vice President
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Walnut Creek, CA 94596
jfarnkopf@hfh-consultants.com

LAFCO
1042 Pacific Street, Suite A
San Luis Obispo, CA 93401

Santa Barbara LAFCO
105 E. Anapamu - Room 406
Santa Barbara, CA 93101
lafco@sblafco.org

City of Bellflower
Water Department
16600 Civic Center Drive
Bellflower, CA 90706
tsais@bellflower.org

City of Cerritos
Water Department
P.O. Box 3130
Cerritos, CA 90703

City of Downey
Director of Public Works
P. O. Box 90241-7016
Downey, CA 90241

City of Hawthorne
4455 W. 126th Street
Hawthorne, CA 90250

City of Huntington Park
Water Department
6550 Miles Street
Huntington Park, CA 90255

City of Inglewood
One Manchester Blvd. - Suite 900
P. O. Box 6500
Inglewood, CA 90301

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

**City of Lakewood
Water Department**
P.O. Box 220
Lakewood, CA 90714-0220

**Honorable Mayor Karen Bass
City of Los Angeles**
200 N. Spring Street – Room 303
Los Angeles, CA 90012

**City of Norwalk
Water Department**
12700 Norwalk Blvd. – Room #5
Norwalk, CA 90650

**City of Santa Fe Springs
Water Department**
11736 E. Telegraph Road
Santa Fe Springs, CA 90670

**City of Torrance
Water Department**
3031 Torrance Blvd.
Torrance, CA 90503

California Water Service Co.
2632 West 237th Street
Torrance, CA 90505-5272
mduque@calwater.com

Liberty Utilities
9750 Washburn Road
Downey, CA 90241
AdviceLetterService@libertyutilities.com
Dan.Marsh@libertyutilities.com
Cindy.Fisher@libertyutilities.com

Maywood Mutual Water - No. 2
3521 East Slauson Street
Maywood, CA 90270

**Long Beach Water Department
Chris Garner, General Manager**
1800 E. Wardlow Road
Long Beach, CA 90807

**City of Los Angeles
Department of Water & Power**
P O Box 51111
Los Angeles, CA 90051-0100

**City of Paramount
Water Department**
16420 Colorado Street
Paramount, CA 90723
sho@paramountcity.com

**City of South Gate
Water Department**
8650 California Street
South Gate, CA 90280
rdickey@sogate.org
dtorres@sogate.org

City of Whittier
13230 Penn Street
Whittier, CA 90602

**California Water Service
Rancho Dominguez District**
2632 West 237th Street
Torrance, CA 90505-5272
hwind@calwater.com

Maywood Mutual Water - No. 1
5953 Gifford Street
Huntington Park, CA 90255
MaywoodWater1@aol.com

Maywood Mutual Water - No. 3
6151 Heliotrope Avenue
Maywood, CA 90270-3418

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

**Orchard Dale County
Water District**

13819 East Telegraph Road
Whittier, CA 90604
rsilvett@odwd.org
ecastaneda@odwd.org
mliskey@odwd.org

San Gabriel Valley Water Co.

11142 Garvey Avenue
El Monte, CA 91733
ratesdepartment@sgvwater.com

Tract 180 - Mutual Water Co.

4544 E. Florence Avenue
Cudahy, CA 90201
Tract180@hotmail.com

Central Basin MWD

General Manager
6252 Telegraph Road
Commerce, CA 90040-2512

**Hilda Solis – 1st District
L.A. County Board of Supervisors**

856 Kenneth Hahn Hall of Admin
500 West Temple Street
Los Angeles, CA 90012

**City Attorney & City Clerk
City of Artesia**

18747 Clarksdale Avenue
Artesia, CA 90701

Pico County Water District

P. O. Box 758
Pico Rivera, CA 90660-0768

**Robert Kelly, VP of Regulatory Affairs
Suburban Water Systems**

1325 N. Grand Avenue, Suite 100
Covina, CA 91724-4044

Water Replenishment District

4040 Paramount Blvd.
Lakewood, CA 90712-4127
General Manager: stucker@ wrd.org
Rob Beste: rbeste@ wrd.org

West Basin MWD

Julie Frazier-Mathews – Executive Asst.
Uzi Daniels – Manager of Operations
E. J. Caldwell – Interim General Manager
17140 S. Avalon Blvd. – Suite 210
Carson, CA 90746-1296
JulieF@westbasin.org
UziD@westbasin.org
EdwardC@westbasin.org

**Holly Mitchell – 2nd District
L. A. County Board of Supervisors**

Room 866 - Hall of Administration
500 West Temple Street
Los Angeles, CA 90012
HollyJMitchell@bos.lacounty.gov
lmuraida@bos.lacounty.gov

**City Attorney, Clerk & Manager
City of Bell**

6330 Pine Avenue
Bell, CA 90201
Daleshire@awattorneys.com – Dale Aleshire
ABustamonte@CityofBell.org – City Clerk
vsanchez@bellgardens.org – Veronica Sanchez

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

City Manager
City of Bell Gardens
7100 S. Garfield Avenue
Bell Gardens, CA 90201
ssimonian@bellgardens.org
joropeza@bellgardens.org
aclark@bellgardens.org

City Attorney & City Clerk
City of Cerritos
P.O. Box 3130
Cerritos, CA 90703
city_clerk@cerritos.us

Acting City Manager & City Clerk
City of Cudahy
5250 Santa Ana Street
Cudahy, CA 90201

City Attorney & City Clerk
City of Downey
11111 Brookshire Avenue
Downey, CA 90241
cityclerk@downeyca.gov

City Clerk
City of Gardena
1700 W. 162nd Street
Gardena, CA 90247
cityclerk@ci.gardena.ca.us

City Attorney & City Clerk
City of Hawthorne
4460 W. 126th Street
Hawthorne, CA 90250
cityclerk@cityofhawthorne.org

City Attorney & City Clerk
City of Inglewood
One Manchester Blvd.
P O Box 6500
Inglewood, CA 90301

City Attorney & City Clerk
City of Carson
701 E. Carson Street
Carson, CA 90745
cityclerk@carson.ca.us

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City of Compton
205 W. Willowbrook Avenue
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ccornwell@comptoncity.org

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City of Culver City
9770 Culver Blvd.
Culver City, CA 90230
City.clerk@culvercity.org
City.attorney@culvercity.org

City Attorney & City Clerk
City of El Segundo
350 Main Street
El Segundo, CA 90245

City Attorney & City Clerk
City of Hawaiian Gardens
21815 Pioneer Blvd.
Hawaiian Gardens, CA 90716

City Clerk
City of Huntington Park
6550 Miles Avenue
Huntington Park, CA 90255

City Clerk
City of Lakewood
5050 N. Clark Avenue
Lakewood, CA 90714
CityClerk@LakewoodCity.org

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

City Attorney & City Clerk
City of La Mirada
13700 La Mirada Blvd.
La Mirada, CA 90638
AHaraksin@CityofLaMirada.org

City Clerk
City of Long Beach
333 Ocean Boulevard
Long Beach, CA 90802
cityclerk@longbeach.gov

City Attorney & City Clerk
City of Paramount
16400 S. Colorado Avenue
Paramount, CA 90723
JCavanaugh@Cavanaughlaw.net

City Attorney & City Clerk
City of South Gate
8650 California Avenue
South Gate, CA 90280

County Clerk
County of Orange
12 Civic Center Plaza
Santa Ana, CA 92702

County of LA Waterworks Dist.
23533 West Civic Center Way
Malibu, CA 90265
Attn: Mark Carney
drydman@dpw.lacounty.gov

Director of Public Services
Town of Apple Valley
14955 Dale Evans Parkway
Apple Valley, CA 92307

California Department of Corrections
P. O. Box 5001
7018 Blair Road
Calipatria, CA 92233

California Dept. of Forestry Hdqtrs
3800 N. Sierra Way
San Bernardino, CA 92405

City Attorney & City Clerk
City of Lawndale
14717 Burin Avenue
Lawndale, CA 90260
tvickrey@awattorneys.com

City Attorney & City Clerk
City of Norwalk
12700 Norwalk Blvd.
Norwalk, CA 90650

City Attorney & City Clerk
City of Santa Fe Springs
11710 E. Telegraph Road
Santa Fe Springs, CA 90670
janetmartinez@santafesprings.org

County Clerk
County of Los Angeles
12400 Imperial Highway
Norwalk, CA 90650

County Counsel
City of Orange
333 W. Santa Ana Blvd., 4th Floor
Santa Ana, CA 92701

Apple Valley Ranchos Water Co.
General Manager
21760 Ottawa Road
P. O. Box 7005
Apple Valley, CA 92308

Barlen Mutual Water
P. O. Box 77
Barstow, CA 92311

California Dept. of Forestry
7105 Airway Drive
Yucca Valley, CA 92284

County Water
14343 Civic Drive
PO Box 5001
Victorville, CA 92393-5001

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

Daggett Community Service
P.O. Box 308
Daggett, CA 92327

East Orange County Water District
185 N. McPherson Road
Orange, CA 92869-3720
David Youngblood:
dyoungblood@eocwd.com
Sylvia Prada: sprado@eocwd.com
eoeh2o@eocwd.com

East Pasadena Water Co.
3725 Mountain View Avenue
Pasadena, CA 91107
Larry@epwater.com

**Raymond Castillo, Chairman
County Administration Center**
940 W. Main Street - #209
El Centro, CA 92243-2871

**Jurg Heuberger, CEP, Executive Officer
LAFCO**
1122 W. State Street, Suite D
El Centro, CA 92243-2840

Juniper Riviera CWD
25715 Santa Rosa Road
Apple Valley, CA 92308

Local Agency Formation Commission
215 North D Street – Suite 204
San Bernardino, CA 92415-0490
lafco@lafco.sbcounty.gov

**Mariana Ranchos County Water
District**
9600 Manzanita Street
Apple Valley, CA 92308
MarianaCWD@mrcwd.org

**Morongo Valley Community
Service Distribution**
P.O. Box 46
Morongo Valley, CA 92256

Navajo Mutual Water Company
P. O. Box 392
Apple Valley, CA 92307
Gmmnwc@gmail.com

Rancheritos Water Co.
P. O. Box 348
Apple Valley, CA 92307
RMWC1954@gmail.com

San Gabriel County Water Co.
8366 Grand Avenue
Rosemead, CA 91770
Jim@sgcwd.com

Seeley County Water District
P. O. Box 161
Seeley, CA 92273

Serrano Water Dist. – Villa Park
18021 East Lincoln Street
Villa Park, CA 92667

Sheep Creek Water Company
P. O. Box 291820
Phelan, CA 92329-1820
Attn: Chris Cummings

Sunny Slope Water Co.
1040 El Campo Drive
Pasadena, CA 91107-5506
Ken@SunnySlopeWaterCompany.com
Karen@SunnySlopeWaterCompany.com

Twentynine Palms Water District
72401 Hatch Road
P.O. Box 1735
Twentynine Palms, CA 92277

Victor Valley Water District
14343 Civic Drive
P O Box 5001
Victorville, CA 92392

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

Walnut Valley Water District
271 S. Brea Canyon Road
Walnut, CA 91789

Water Issues Committee
Wrightwood Property Owners Assoc.
P.O. Box 487
Wrightwood, CA 92397

City of Arcadia Water Co.
P. O. Box 60021
240 W. Huntington Drive
Arcadia, CA 91066-6021

City of Brawley Water Co.
400 Main Street
Brawley, CA 92227
TSalcido@brawley-ca.gov

City of Buena Park
6650 Beach Boulevard
Buena Park, CA 90620

City of Covina
534 Barranca Avenue
Covina, CA 91723-2199
CMarcarelo@covinaca.gov

City Attorney
City of El Monte
11333 Valley Blvd.
El Monte, CA 91732
cmoseley@elmonte.ca.gov

City of Garden Grove
13802 Newhope Street
Garden Grove, CA 92643
Zackb@ci.garden-grove.ca.us

Heber Public Utility District
P. O. Box H
Heber, CA 92249

Westmorland Water Company
P.O. Box 698
Westmorland, CA 92281

City of Anaheim
City Clerk's Office
200 S. Anaheim Blvd. – Suite 217
Anaheim, CA 92805

City of Alhambra Utilities Dept.
111 S. First Avenue
Alhambra, CA 91801

City of Brea
Water Department
#1 Civic Center Drive
Brea, CA 92621

City of Calexico Water Co.
608 Heber Avenue
Calexico, CA 92231
saldanaj@calexico.ca.gov

City of El Centro Water Co.
307 W. Brighton Avenue
El Centro, CA 92243

City of Fullerton Water
Fullerton Water Department
303 W. Commonwealth Avenue
Fullerton, CA 92631
garh@ci.fullerton.ca.us

City of Glendora
116 East Foothill Blvd.
Glendora, CA 91740

City of Hesperia
Water Department
9700 Seventh Avenue
Hesperia, CA 92345
jwymman@cityofhesperia.us

GOLDEN STATE WATER COMPANY
REGION 1, 2 & 3 – SERVICE LIST

City of Imperial
Water Department
420 S. Imperial Avenue
Imperial, CA 92251

City of La Verne
Water Department
3660 "D" Street
La Verne, CA 91750
lestrella@ci.la-verne.ca.us

City of Monterey Park Water Co.
320 W. Newmark Avenue
Monterey Park, CA 91754
rgonzales@montereypark.ca.gov

City of Monrovia Water Company
415 S. Ivy Avenue
Monrovia, CA 91016

City of Santa Ana Water
20 Civic Center Plaza
Santa Ana, CA 92702
ryhernandez@santa-ana.org

City of Seal Beach
211 8th Street
Seal Beach, CA 90740

City of West Covina
Water Department
825 S. Sunset Avenue
West Covina, CA 91790

Steve Conklin, Acting General Manager
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